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10-21-03

S/N 09/763,385

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: TANAKA et al. Examiner: Thang V. Tran  
Serial No.: 09/763,385 Group Art Unit: 2653  
Filed: February 21, 2001 Docket No.: 10873.0654USWO  
Title: OBJECTIVE LENS FOR OPTICAL DISK, OPTICAL HEAD DEVICE  
AND OPTICAL INFORMATION RECORDING AND REPRODUCING  
APPARATUS USING THE SAME, AND MOLD FOR MOLDING LENS,  
METHOD FOR WORKING THE MOLD FOR MOLDING LENS, AND  
PROFILE MEASURING DEVICE FOR MEASURING PROFILE OF THE  
MOLD FOR MOLDING LENS

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV347848251US  
Date of Deposit: October 1, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

By: Teresa Anderson  
Name: Teresa Anderson

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

RECEIVED

OCT 07 2003

Technology Center 2600

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. No fee is due as

this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

**Certification Under 37 C.F.R. §1.97(e)(1)**

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that each item of information listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart foreign application within three months of filing this statement.

**Certification Under 37 C.F.R. § 1.704(d)**

In accordance with 37 C.F.R. § 1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement. Accordingly, no patent term adjustment is due for the filing of this Information Disclosure Statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

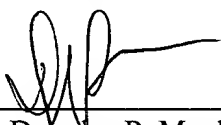
Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,



MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: October 1, 2003

By   
Douglas P. Mueller  
Reg. No. 30,300

DPM:mmm



10-02-03

2653

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: TANAKA et al. Examiner: Thang V. Tran  
Serial No.: 09/763,385 Group Art Unit: 2653  
Filed: February 21, 2001 Docket: 10873.0654USWO  
Confirmation No.: 5060 Notice of Allow. Date: n/a  
Due Date: October 1, 2003  
Title: OBJECTIVE LENS FOR OPTICAL DISK, OPTICAL HEAD DEVICE AND OPTICAL INFORMATION RECORDING AND REPRODUCING APPARATUS USING THE SAME, AND MOLD FOR MOLDING LENS, METHOD FOR WORKING THE MOLD FOR MOLDING LENS, AND PROFILE MEASURING DEVICE FOR MEASURING PROFILE OF THE MOLD FOR MOLDING LENS

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Date of Deposit: October 1, 2003

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By: Teresa Anderson  
Name: Teresa Anderson

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Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Technology Center 2600

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Supplemental Information Disclosure Statement, Form 1449, 3 Reference(s)
- ☒ Other: Japanese Office Action dated September 1, 2003.
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
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By: Douglas P. Mueller  
Name: Douglas P. Mueller  
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